PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Shults et al.

Examiner:

Robert L. Nasser

Serial No.:

09/489,588

Group Art Unit: 3736

Filed:

January 21, 2000

Docket:

1146-4 DIV/CON

For:

DEVICE AND METHOD

Dated:

December 21, 2001

FOR DETERMINING ANALYTE LEVELS

> I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

December 21

Signature

Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.I**'.R. §1.97(c)

Sir:

In fulfillment of the requirements of cand or and good faith set forth in 37 C.F.R. §1.56, submitted herewith is the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §§1.97 and 1.98

As this Supplemental Information Disclosure Statement is being mailed after receipt of the first Office Action on the merits, but before a Final Rejection, it is believed to be timely with the required fee of \$180.00, which is submitted herewith. Please charge any deficiencies or credit any overpayment to Deposit Account No. 08-2461.

Page 2

L U.S. PATENTS

| Patent No. | Inventor(s) | <u>Title</u> | <u>Lssued</u> |
|------------|-------------------|--|---------------|
| 4,759,828 | Young et al. | Glucose Electrode and Method of Determining Glucose | 07/26/88 |
| 4,890,620 | Gough | Two-Dimensional Diffusion Glucose Substrate Sensing Electrode | 01/02/90 |
| 5,165,407 | Wilson et al. | Implantable G ucose Sensor | 11/24/92 |
| 5,322,063 | Allen et al. | Hydrophilic Polyurethane Membranes for Electrochemic il Glucose Sensors | 06/21/94 |
| 5,390,671 | Lord et al. | Transcutaneous Sensor Insertion Set | 02/21/95 |
| 5,391,250 | Cheney, II et al. | Method of Fabricating Thin Film Sensors | 02/21/95 |
| 5,777,060 | Van Antwerp | Silicon-Contai sing Biocompatible Membranes | 07/07/98 |
| 5,882,494 | Van Antwerp | Polyurethane/I olyurea Compositions Containing Silicone for Bi ssensor Membranes | 03/16/99 |
| 6,309,351 | Kurnik et al. | Methods for Monitoring a Physiological Analyte | 10/30/01 |
| 6,329,161 | Heller et al. | Subcutaneous Glucose Electrode | 12/11/01 |

II. FOREIGN PUBLICATIONS

| Publication No. | Inventor(s) | <u>Title</u> | Published |
|-----------------|----------------|--|------------------|
| WO 93/19701 | Brauker et al. | Angiogenic Tis sue Implant Systems and Methods | 10/14/93 |

Copies of each of the documents cited her in are also enclosed. The above listed patents are also listed on Applicant's PTO-1449 form which is attached to this Information Disclosure Statement for the convenience of the Examiner.

Page 3

Should the Examiner have any questions regarding this submission, please contact undersigned counsel at the telephone number listed below.

Respectfully submitted,

Robert M. Rodrick Registration No. 27,086 Atterney for Applicant(s)

RMR:ljs

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791 (973) 331-1700

Sheet $\underline{1}$ of $\underline{1}$

| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE | | | | | AT .Y. DOCKET NO. 1146-4 DIV/CON | | SERIAL NO. 09/489,588 | |
|---|--------------------|---------------|-------------|---|-------------------------------------|--------------|-----------------------------|----------|
| STATEMENT BY APPLICANT | | | | AP. LICANT Shults et al. FILING DATE Ji nuary 21, 2000 | | c | CONFIRMATION NO. GROUP 3736 | |
| | | | | | | | | |
| | | U.S. PATE | ENT DOCU | Mint | \$ | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAM | | CLASS | SUB CLASS | | G DATE |
| | 4,759,828 | 07/26/88 | Young e | et al | 204 | 1 T | | |
| | 4,890,620 | 01/02/90 | Gough | | 128 | 635 | | |
| | 5,165,407 | 11/24/92 | Wilson | et al. | 128 | 635 | | |
| | 5,322,063 | 06/21/94 | Allen e | t al. | 128 | 635 | | |
| | 5,390,671 | 02/21/95 | Lord e | t al. | 128 | 635 | | |
| | 5,391,250 | 02/21/95 | Cheney, I | I et al. | 156 | 268 | | |
| | 5,777,060 | 07/07/98 | Van Ant | wer _i) | 528 | 28 | 03/ | 27/95 |
| | 5,882,494 | 03/16/99 | Van Ant | wer;) | 204 | 403 | 03/ | 27/95 |
| | 6,309,351 | 10/30/01 | Kurnik | et al | 600 | 309 | 12/7 | 28/95 |
| | 6,329,161 | 12/11/01 | Heller e | t al. | 435 | 14 | 12/0 | 02/93 |
| | I | FOREIGN PA | TENT DO | CU MEI | NTS | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | CONN | NTRY | CLASS | SUB | TRANS | LATION |
| | , item bek | | | | | CLASS | YES | NO |
| | WO 93/19701 | 10/14/93 | РСТ | | A61F | 2/02 | | <u> </u> |
| 07 | THER DOCUMEN | ITS (Includin | g Author, T | itle, Da | te, Pertine | nt Page | s, Etc.) | |
| | | | | | | | - | |
| | | | | | | | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

Sheet $\underline{1}$ of $\underline{1}$

| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE | ATT) DOCKET NO, 1146-4 DIV/CON | SERIAL NO. 09/489,588 | |
|---|-----------------------------------|--------------------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | APPLICANT !;hultz et al. | CONFIRMATION NO. 6019 | |
| (Use several sheets if necessary) | FILING DATE 01/21/00 | GROUP 3736 | |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|----------|-------------------|-------|--------------|-------------------------------|
| · _ | 5,538,511 | 07/23/96 | Van Antwerp | 604 | 265 | |
| | 5,569,186 | 10/29/96 | Lord et al. | 604 | 67 | |
| | 5,653,863 | 08/05/97 | . Genshaw et a . | 205 | 777.5 | • |
| | 5,791,344 | 08/11/98 | Schulman et : il. | 128 | 635 | |
| | 5,985,129 | 11/16/99 | Gough et al. | 205 | 724 | |
| | US2002/0042090A1 | 04/11/02 | Heller et al. | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION | |
|---------------------|--------------------|------|---------------------------------------|-------|--------------|-------------|----|
| | 110110211 | | | | | YES | NO |
| | | | · · · · · · · · · · · · · · · · · · · | | | | |
| | | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, I late, Pertinent Pages, Etc.) | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | |
| | | | | | | | | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citat on is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.